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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

1213.43684X00

First Inventor

AOKI, MAKOTO

Title

INFORMATION PROCESSING SYSTEM AND  
METHOD

Express Mail Label No.

**APPLICATION ELEMENTS**

SEE MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification [Total Pages: **40**]  
(preferred arrangement set forth below)

-Descriptive title of the invention  
-Cross Reference to Related Applications  
-Statement Regarding Fed sponsored R & D  
-Reference to sequence listing, a table,  
or a computer program listing appendix  
-Background of the Invention  
-Brief Summary of the Invention  
-Brief Description of the Drawings (if filed)  
-Detailed Description  
-Claim(s)  
-Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets: **15**]

5. Oath or Declaration [Total Sheets: ]

- a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)

- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
Named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b)

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)  
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & documents(s))  
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)  
11. ☐ English Translation Document (if applicable)  
12. ☒ Information Disclosure ☒ Copies of IDS Citations  
Statement (IDS)/PTO-1449  
13. ☐ Preliminary Amendment  
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)  
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.  
17. ☒ Other: **Figs. 1-15, Credit Card Payment Form**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner: \_\_\_\_\_ Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under  
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number

020457

OR ☐ Correspondence address below

Name

ANTONELLI, TERRY, STOUT &amp; KRAUS, LLP

Address

City

State

Zip Code

Country

Telephone

(703) 312-6600

Fax

(703) 312-6666

Name (Print/Type)

Carl J. Brundidge

Registration No. (Attorney/Agent)

29,621

Signature

Date

March 24, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

032404

13281 U.S. PTO

22386 U.S. PTO  
10/807202

032404

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**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$)**1,064.00****Complete if Known**

|                      |                |
|----------------------|----------------|
| Application Number   |                |
| Filing Date          | March 24, 2004 |
| First Named Inventor | AOKI, MAKOTO   |
| Examiner Name        |                |
| Art Unit             |                |
| Attorney Docket No.  | 1213.43684X00  |

**METHOD OF PAYMENT (check all that apply)**☐ Check ☒ Credit Card ☐ Money ☐ Other ☐ None  
Order☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

01-2135

Antonelli, Terry, Stout &amp; Kraus, LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application.☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small Entity<br>Fee<br>Code | Small Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid      |
|----------------------|-----------------------|-----------------------------|-----------------------------|------------------------|---------------|
| 1001                 | 770                   | 2001                        | 385                         | Utility filing fee     | 770.00        |
| 1002                 | 340                   | 2002                        | 170                         | Design filing fee      |               |
| 1003                 | 530                   | 2003                        | 265                         | Plant filing fee       |               |
| 1004                 | 770                   | 2004                        | 385                         | Reissue filing fee     |               |
| 1005                 | 160                   | 2005                        | 80                          | Provisional filing fee |               |
| <b>SUBTOTAL (1)</b>  |                       |                             |                             |                        | <b>770.00</b> |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

|                    |    | Extra Claims | Fee from<br>below |   | Fee Paid |
|--------------------|----|--------------|-------------------|---|----------|
| Total Claims       | 22 | -20** = 2    | x 18              | = | 36.00    |
| Indep.<br>Claims   | 6  | -3** = 3     | x 86              | = | 258.00   |
| Multiple Dependent |    | 0            |                   | = | 0        |

| Large Entity<br>Fee<br>Code | Large Entity<br>Fee<br>(\$) | Small Entity<br>Fee<br>Code | Small Entity<br>Fee<br>(\$) | Fee Description   |
|-----------------------------|-----------------------------|-----------------------------|-----------------------------|---|
| 1202                        | 18                          | 2202                        | 9                           | Claims in excess of 20  |
| 1201                        | 86                          | 2201                        | 43                          | Independent claims in excess of 3                             |
| 1203                        | 290                         | 2203                        | 145                         | Multiple dependent claim, if not paid                         |
| 1204                        | 86                          | 2204                        | 43                          | ** Reissue independent claims<br>over original patent         |
| 1205                        | 18                          | 2205                        | 9                           | ** Reissue claims in excess of 20<br>and over original patent |
| <b>SUBTOTAL (2)</b>         |                             |                             |                             | <b>\$ 294.00</b>  |

\*\*or number previously paid, if greater; For Reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

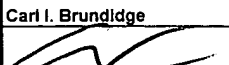
Large Entity Small Entity

| Large Entity<br>Fee<br>Code | Large Entity<br>Fee<br>(\$) | Small Entity<br>Fee<br>Code | Small Entity<br>Fee<br>(\$) | Fee Description   |
|-----------------------------|-----------------------------|-----------------------------|-----------------------------|---|
| 1051                        | 130                         | 2051                        | 65                          | Surcharge - late filing fee or oath   |
| 1052                        | 50                          | 2052                        | 25                          | Surcharge - late provisional filing fee or<br>cover sheet                     |
| 1053                        | 130                         | 1053                        | 130                         | Non-English specification   |
| 1812                        | 2,520                       | 1812                        | 2,520                       | For filing a request for <i>ex parte</i> reexamination                        |
| 1804                        | 920*                        | 1804                        | 920*                        | Requesting publication of SIR prior to<br>Examination action                  |
| 1805                        | 1,840*                      | 1805                        | 1,840*                      | Requesting publication of SIR after<br>Examiner action                        |
| 1251                        | 110                         | 2251                        | 55                          | Extension for reply within first month  |
| 1252                        | 420                         | 2252                        | 210                         | Extension for reply within second month                                       |
| 1253                        | 950                         | 2253                        | 475                         | Extension for reply within third month  |
| 1254                        | 1,480                       | 2254                        | 740                         | Extension for reply within fourth month                                       |
| 1255                        | 2,010                       | 2255                        | 1,005                       | Extension for reply within fifth month  |
| 1401                        | 330                         | 2401                        | 165                         | Notice of Appeal  |
| 1402                        | 330                         | 2402                        | 165                         | Filing a brief in support of an appeal  |
| 1403                        | 290                         | 2403                        | 145                         | Request for oral hearing  |
| 1451                        | 1,510                       | 1451                        | 1,510                       | Petition to institute a public use proceeding                                 |
| 1452                        | 110                         | 2452                        | 55                          | Petition to revive - unavoidable  |
| 1453                        | 1,330                       | 2453                        | 665                         | Petition to revive - unintentional  |
| 1501                        | 1,330                       | 2501                        | 665                         | Utility issue fee (or reissue)  |
| 1502                        | 480                         | 2502                        | 240                         | Design issue fee  |
| 1503                        | 640                         | 2503                        | 320                         | Plant issue fee   |
| 1406                        | 130                         | 1460                        | 130                         | Petitions to the Commissioner   |
| 1807                        | 50                          | 1807                        | 50                          | Processing fee under 37 CFR 1.17(q)   |
| 1806                        | 180                         | 1806                        | 180                         | Submission of Information Disclosure Stmt                                     |
| 8021                        | 40                          | 8021                        | 40                          | Recording each patent assignment per<br>property (times number of properties) |
| 1809                        | 770                         | 2809                        | 385                         | Filing a submission after final rejection<br>(37 CFR § 1.129(a))              |
| 1810                        | 770                         | 2810                        | 385                         | For each additional invention to be<br>examined (37 CFR § 1.129(b))           |
| 1801                        | 770                         | 2801                        | 385                         | Request for Continued Examination (RCE)                                       |
| 1802                        | 900                         | 1802                        | 900                         | Request for expedited examination<br>of a design application                  |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)****0.00****SUBMITTED BY****Complete (if applicable)**

|                   |   |                                      |            |           |              |
|-------------------|---|--------------------------------------|------------|-----------|--------------|
| Name (Print/Type) | Carl I. Brundidge   | Registration No.<br>(Attorney/Agent) | 29,621     | Telephone | 703-312-6600 |
| Signature         |  | Date                                 | 03/24/2004 |           |              |

**WARNING:** Information on this form may become public. Credit card information should not be  
included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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